

SUBSTITUTE FORM PTO-1449 INFORMATION DISCLOSURE CITATION	U.S. DEPARTMENT OF COMMERCE PATENT AND TRADEMARK OFFICE	ATTY. DOCKET NO. 9469-4 DI1	SERIAL NO. N/A
	APPLICANT: Robert J. Schena, et al.		
	FILING DATE Herewith	GROUP N/A	

U.S. PATENT DOCUMENTS

EXAMINER INITIAL		DOCUMENT NUMBER	DATE	NAME	CLASS	SUBCLASS	FILING DATE (IF APPROPRIATE)
<i>Seil</i>	BA	5,694,551	12/01/97	Doyle et al.	395/226	395/134	
	BB	5,980,136	03/30/99	Kipp	705/22	705/10	
<i>Seil</i>	BC	4,797,818	01/10/89	Cotter	364	401	
<i>y</i>	BD	5,122,959	06/16/92	Nathanson et al.	364/436	340/993	
<i>y</i>	BE	5,583,487	12/10/96	Ackerman et al.	340/825.35	235/383	
<i>y</i>	BF	5,825,002	10/20/98	Roslak	235/375	235/472	
<i>y</i>	BG	5,979,757	11/09/99	Tracy et al.	235/383	235/472.01	
<i>Seil</i>	BH	5,444,444	08/22/95	Ross	340/994	340/988	
	BI						
	BJ						
	BK						
	BL						
	BM						

FOREIGN PATENT DOCUMENTS

		DOCUMENT NO.	DATE	COUNTRY	CLASS	SUBCLASS	TRANSLATION	
							YES	NO
	BN							
	BO							
	BP							

OTHER DOCUMENTS (Including Author, Title, Date, Pertinent Pages, Etc.)

	BQ	
	BR	
	BS	
EXAMINER	<i>Seil</i>	DATE CONSIDERED 6/12/03
EXAMINER: Initial if citation considered, whether or not citation is in conformance with MPEP 609; Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.		

PAPER #10


 FORM PTO-1449 U.S. DEPARTMENT OF COMMERCE
 (MODIFIED) PATENT AND TRADEMARK OFFICE

 ATTY. DOCKET NO.
 52555-5005-02

 SERIAL NO.
 09/768,535

 INFORMATION DISCLOSURE
 STATEMENT BY APPLICANT
 (Use several sheets if necessary)

C.F.R. § 1.98(b))

 APPLICANT
 Schena et al.

 FILING DATE
 January 24, 2001

GROUP

U.S. PATENT DOCUMENTS

EXAMINER INITIAL		PATENT NUMBER							ISSUE DATE	PATENTEE	CLASS	SUBCLASS	FILING DATE IF APPROPRIATE
Sch	A	4	0	4	2	1	1	3	08/77	Duncan et al.			
	B	4	7	5	2	6	7	5	06/88	Zetmeier			
Sch	C	5	8	3	2	4	5	7	11/98	O'Brien et al.			
	D	5	9	6	3	9	1	6	10/99	Kaplan			
	E	6	0	2	6	3	7	5	02/00	Hall et al.			
	F	6	0	2	9	1	4	1	02/00	Bezos et al.			
	G	6	0	3	8	5	5	2	03/00	Fleischl et al.			
	H	6	2	4	6	9	9	7-B1	06/01	Cybul et al.			
	I	4	9	6	4	0	4	3-A	10/90	Galvin			
	J	5	6	2	5	7	7	6-A	04/97	Johnson			
Sch	K	6	1	0	1	4	8	3-A	08/00	Petrovich et al.			

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FOREIGN PATENT OR PUBLISHED FOREIGN PATENT APPLICATION

		DOCUMENT NUMBER							PUBLICATI ON DATE	COUNTRY OR PATENT OFFICE	CLASS	SUBCLASS	TRANSLATION	
													YES	NO
.	L	WO	95	2	6	5	3	6	10/95					
.	M	WO	99	1	6	0	6	0	01/99					
.	N	WO	99	1	7	2	3	0	04/99					
.	O	EP0	8	5	6	8	1	2 A2	08/05/98					
.	P	EP0	8	5	6	8	1	2 A3	05/06/99					

OTHER DOCUMENTS (Including Author, Title, Date, Relevant Pages, Place of Publication)

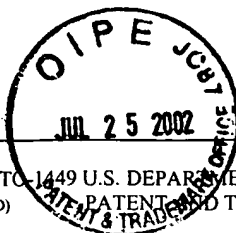
Sch	Q	Vollum, R.B. "43 Ways Computers Can Help You," (Abstract only), Purchasing World, Vol. 27, No. 6, pp. 81-82 June 1983.
Sch	R	Anon., "Octel Communications Offers a Multi-Function Call Processing Server System," Computergram International, No. 1658, April 19, 1991.
Sch	S	Anon., "Financial Times Launches Content Through Netscape's In-Box Direct," Information Today, Vol. 14, No. 1 pp. 1, 19, March 1997.

EXAMINER

DATE CONSIDERED

6/16/03

 EXAMINER: Initial citation considered. Draw line through citation if not in conformance and not considered. Include copy of this
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(37 C.F.R. § 1.98(b))

ATTY. DOCKET NO.
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U.S. PATENT DOCUMENTS

EXAMINER INITIAL		PATENT NUMBER							ISSUE DATE	PATENTEE	CLASS	SUBCLASS	FILING DATE IF APPROPRIATE
Seil	T	6	1	3	4	5	4	8-A	10/00	Gottzman et al.			
	U	5	4	9	6	0	7	1-A	03/96	M.A. Walsh			
	V	5	5	8	3	9	9	4-A	12/96	Rangan			
	W	5	8	9	9	7	0	0-A	05/99	Williams et al.			
	X	5	9	0	7	8	3	6-A	05/99	Sumita et al.			
	Y	5	9	7	4	2	2	2	10/99	Yuen et al.			
	Z	6	0	1	1	9	4	9-A	01/00	Shimomukai			
	AA	6	1	3	7	9	5	0-A	10/00	Yuen			
	BB	6	1	4	4	8	4	8-A	11/00	J.F. Walsh et al.			
	CC	6	1	5	4	7	3	8-A	11/00	Call			
Seil	DD	6	1	9	9	0	4	8-B1	03/01	Hudetz et al.			

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		DOCUMENT NUMBER							PUBLICATI ON DATE	COUNTRY OR PATENT OFFICE	CLASS	SUBCLASS	TRANSLATION	
													YES	NO

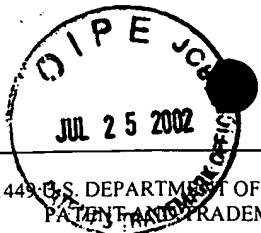
OTHER DOCUMENTS (Including Author, Title, Date, Relevant Pages, Place of Publication)

Seil	EE	Anon., "MSNBC Business Video and WavePhore Newscast Create Multimedia Business Intelligence Service," Information Today, Vol. 15, No. 1., p. 25+, January 1998.
I	FF	www.dallasnews.com; "THE DALLAS MORNING NEWS INTERNET-ENHANCED", pp. 1-7.
Seil	GG	www.getcuecat.com; "WELCOME PARADE READERS"; Digital Convergence, p. 1

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U.S. PATENT DOCUMENTS

EXAMINER INITIAL		PATENT NUMBER							ISSUE DATE	PATENTEE	CLASS	SUBCLASS	FILING DATE IF APPROPRIATE
SH	HH	6	0	1	2	1	0	2	01/04/00	Shachar			
	II	5	8	4	8	4	1	3	12/08/98	Wolff			
	JJ	5	9	9	2	7	5	2	11/30/99	Wilz, Sr. et al.			
	KK	6	0	4	5	0	4	8	04/04/00	Wilz, Sr. et al.			
	LL	5	9	0	5	2	5	1	05/18/99	Knowles			
	MM	6	0	2	7	0	2	4	02/22/00	Knowles			
	NN	6	0	0	6	2	5	2	12/21/99	Wolfe			
	OO	6	1	4	8	3	3	1	11/14/00	Parry			
	PP	5	9	3	3	8	2	9	08/03/99	Durst et al.			
	QQ	0	0	1	1	2	3	3	08/01	Narayanaswami			
SH	RR	0	0	1	3	0	1	1	08/01	Day et al.			

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		DOCUMENT NUMBER							PUBLICATION DATE	COUNTRY OR PATENT OFFICE	CLASS	SUBCLASS	TRANSLATION	
													YES	NO

OTHER DOCUMENTS (Including Author, Title, Date, Relevant Pages, Place of Publication)

SH	SS	www.forbes.com; "THE CUE CAT", pp. 1-2.
SH	TT	www.crq.com; "Changing the Way You Surf the Internet"; pp. 1-3.
SH	UU	www.crq.com; "Welcome to Digital Convergence"; pp. 1-5

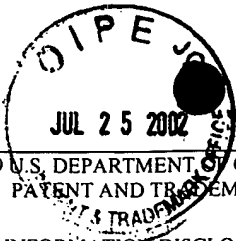
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(37 C.F.R. § 1.98(b))

U.S. PATENT DOCUMENTS

EXAMINER INITIAL		PATENT NUMBER							ISSUE DATE	PATENTEE	CLASS	SUBCLASS	FILING DATE IF APPROPRIATE
Sh	VV	0	0	1	4	8	6	8	08/01	Herz et al.			
	WW	6	0	6	4	9	7	9	05/16/00	Perkowski			
	XX	6	0	4	9	8	3	5	04/11/00	Gagnon			
	YY	5	9	3	8	7	2	7	08/17/99	Ikeda			
	ZZ	5	9	5	6	4	8	3	09/21/99	Grate et al.			
	A'	5	8	9	3	9	1	0	04/13/99	Martineau et al.			
Sh	B'	6	0	3	2	1	9	5	02/29/00	Reber et al.			

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		DOCUMENT NUMBER							PUBLICATION DATE	COUNTRY OR PATENT OFFICE	CLASS	SUBCLASS	TRANSLATION	
													YES	NO

OTHER DOCUMENTS (Including Author, Title, Date, Relevant Pages, Place of Publication)

Sh	C'	www.cross.com; "Cross Convergence", p. 1.
1	D'	www.dtint.com; "DTI Announces Speed URL: A New Patent Pending Solution for Linking Print and the Internet", pp. 1-4.
Sh	E'	www.editorandpublisher.com; "GAZETTE TO USE GOCODE IN COLORADO SPRINGS"; September 8, 2000; pp. 1-2.

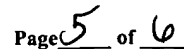
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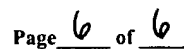
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DATE CONSIDERED

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**Complete if Known**

Application Number	09/768,535
Filing Date	January 24, 2001
First Named Inventor	Schena, et al.
Group Art Unit	2165
Examiner Name	Not Yet Assigned
Attorney Docket Number	052555-5005-02

Sheet	1	of	1
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Sheet	1	of	1
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Attorney Docket Number	052555-5005-02
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Exr Initials	U.S. Patent Document		Name of Inventor or Applicant of Cited Document	Date of Publication of Cited Document MM-DD-YYYY
	Number	Kind Code (if known)		
<i>Sah</i>	5,434,394		Roach et al.	July 18, 1995
<i>Sah</i>	5,478,989		Shepley	December 26, 1995
<i>Sah</i>	5,630,125		Zellweger	May 13, 1997
<i>f</i>	5,640,193		Wellner	July 17, 1997
<i>f</i>	5,657,222		Randolph	August 12, 1997
<i>f</i>	5,664,110		Green et al.	September 2, 1997
<i>f</i>	5,715,314		Payne	February 3, 1998
<i>f</i>	5,761,499		Sonderegger	June 2, 1998
<i>f</i>	5,918,211		Sloan	June 29, 1999
<i>f</i>	5,950,173		Perkowski	September 7, 1999
<i>f</i>	5,970,469		Scroggie et al.	October 19, 1999
<i>f</i>	6,032,130		Alloul et al.	February 29, 2000
<i>Schl</i>	6,123,259		Ogasawara	September 26, 2000

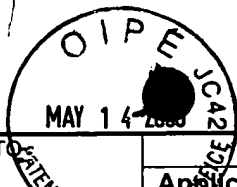
Exr Initials	Foreign Patent Document			Name of Inventor or Applicant of Cited Document	Date of Publication of Cited Document MM-DD-YYYY	T ₁
	Country Code	Number	Kind Code (if known)			

[illegible]

Stephen A. Ogden

Date
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Substitute for form 1449A/PTO

Complete if Known

INFORMATION DISCLOSURE
STATEMENT BY APPLICANT

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Application Number	09/768,535
Filing Date	January 24, 2001
First Named Inventor	Schena, et al.
Group Art Unit	2165
Examiner Name	Not Yet Assigned
Attorney Docket Number	052555-5005-02

GROUP 3600

Sheet 1 of 1

U.S. PATENT DOCUMENTS

Exr Initials	U.S. Patent Document		Name of Inventor or Applicant of Cited Document	Date of Publication of Cited Document MM-DD-YYYY
	Number	Kind Code (if known)		
Sel	5,237,157		Kaplan	August 17, 1993
	5,539,635		Larson, Jr.	July 23, 1996
	5,860,068		Cook	January 12, 1999
	5,872,588		Aras, et al.	February 16, 1999
	5,930,768		Hooban	July 27, 1999
	5,966,440		Hair	October 12, 1999
	5,991,737		Chen	November 23, 1999
	6,160,989		Hendricks, et al.	December 12, 2000
	6,233,682		Fritsch	May 15, 2001
	6,263,313		Milsted	July 17, 2001
	6,311,214		Rhoads	October 30, 2001
	6,463,585		Hendricks, et al.	October 8, 2002
	6,542,933		Durst, Jr., et al.	April 1, 2003
	2001/0027472		Guan	October 4, 2001
	2001/0032251		Rhoads, et al.	October 18, 2001
Sel	2002/0062261		Mukai	May 23, 2002
	2003/0019935		Giannulli	January 30, 2003

FOREIGN PATENT DOCUMENTS

Exr Initials	Foreign Patent Document			Name of Inventor or Applicant of Cited Document	Date of Publication of Cited Document MM-DD-YYYY	T ₁
	Country Code	Number	Kind Code (if known)			
Sel	WIPO	01/35410		Fitzpatrick	May 17, 2001	
	WIPO	02/44956		Christensen	June 6, 2002	

OTHER PRIOR ART - NON PATENT LITERATURE DOCUMENTS

Exr Initials	Include Name of Author (in CAPITAL LETTERS), title of the article (where appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city and/or country where published	T ₁
Sel	Anon, "Controversial Custom CD Patent Licensed by Super Sonic BOOM," Information Today, Vol. 15, No. 5, p. 43, May 1998.	
Sel	Anon, "Singapore: Vivamusic.com Opens Physical Store," Business Times, p. 12, August 21, 2000.	

Examiner
Signature

Stephen A. Hogan

Date
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